

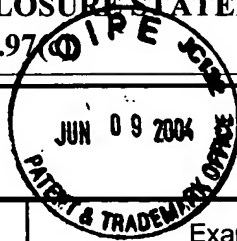
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

17272

In Re Application Of: Tsutomo Okada

Serial No.  
10/718,189Filing Date  
November 20, 2003Examiner  
UnassignedGroup Art Unit  
Unassigned

Title: DIATHERMIC CUTTER

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
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Serial No.  
10/718,189

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November 20, 2003

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Group Art Unit  
Unassigned

**DIATHERMIC CUTTER**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_
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- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited on **June 7, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**Thomas Spinelli**  
Typed or Printed Name

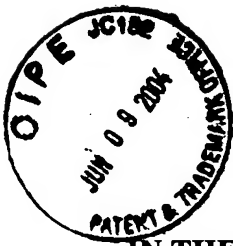
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\_\_\_\_\_  
Signature

Dated: **June 7, 2004**

**Thomas Spinelli**  
Registration No. 39,533  
**SCULLY, SCOTT, MURPHY & PRESSER**  
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Garden City, NY 11530  
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cc:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Tsutomo Okada                      **Examiner:** Unassigned  
**Serial No.:** 10/718,189                      **Group Art Unit:** Unassigned  
**Filed:** November 20, 2003                      **Docket:** 17272  
**For:** DIATHERMIC CUTTER                      **Dated:** June 7, 2004  
**Conf. No.:** 9810

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Patent Application KOKAI Publication No. 4-329944, dated November 18, 1992;
2. Japanese Patent Application No. 8-299355, dated November 19, 1996, together with English translation;

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 7, 2004.

Dated: June 7, 2004

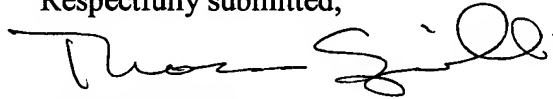
  
Thomas Spinelli

3. T. Oyama et al., "Endoscopic Mucosal Resection Using a Hooking Knife (Hooking EMR)", Stomach and Intestine, (Tokyo), Vol. 37, No. 9, 2002, pp. 1155-1161, together with English translation; and
4. H. Inoue et al., "Endoscopic mucosal resection with a cap-fitted panendoscope for esophagus, stomach, and colon mucosal lesions", Gastrointest. Endosc. 39: 58-62 1993 Vol. 14, No. 9, together with English translation.

Applicant is submitting copies of the above-cited references. The relevance of Reference No. 1 has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

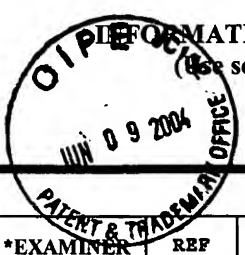
Respectfully submitted,



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 <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>				Docket Number (Optional) <b>17272</b>		Application Number <b>10/718,189</b>	
				Applicant(s) <b>Tsutomo Okada</b>			
				Filing Date <b>November 20, 2003</b>		Group Art Unit <b>Unassigned</b>	
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO
	✓	4-329944	11/18/1992	Japan			
	✓	8-299355	11/19/1996	Japan			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	✓	T. Oyama et al., "Endoscopic Mucosal Resection Using a Hooking Knife (Hooking EMR)", Stomach and Intestine, (Tokyo), Vol. 37, No. 9, 2002, pp. 1155-1161, together with English translation					
		H. Inoue et al., "Endoscopic mucosal resection with a cap-fitted panendoscope for esophagus, stomach, and colon mucosal lesions", Gastrointest. Endosc. 39: 58-62 1993 Vol. 14, No. 9, together with English translation					
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							